

Neural Search in Action

Representing, transiting & searching **multimodal data** 

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Berlin · Beijing · Shenzhen

# About me & Jina Al

Han Xiao, Founder & CEO of Jina Al. Based in Berlin, Germany.

 ML PhD in 2014 TU Munich; Zalando Research; Tencent Al Lab; Creator of Fashion-MNIST.

Jina Al

- Founded in 2020, focus on multimodal AI search & create
- Opensource contributor: Jina, DocArray (Linux Foundation),
   CLIP-as-service, ...
- 60 people, HQ in Berlin. Offices in Beijing, Shenzhen.





# **Jina AI Tech Spectrum**

### Prompt tuning

the process of crafting and refining the input prompts in order to guide its output towards specific, desired responses.

the deployment of fine-tuned models in a production environment, usually requiring substantial resources such as GPU hosting. MLOps, emphasizing the serving of mid-size to large models in a scalable, efficient, and reliable manner.

### Model serving

Also known as fine-tuning, involves adjusting the parameters of a pre-trained model on a new, often task-specific dataset to improve its performance and adapt it to a specific application.

### Prompt serving

wrapping and serving prompts through an API, without hosting heavy models. The API calls a public large language model service and handles the orchestration of inputs and outputs in a chain of operations.

Model tuning



# Agenda

### - Preliminary: multimodal AI

- Opensource package: DocArray
  - Motivation
  - Representing data
  - Transiting data
  - Storing data
  - Retrieving data
- Multimodal at scale in production

This tutorial may require technical knowledge. Familiarity with Python 3.7+ concepts like data classes could be helpful.



# **Preliminary:** from unimodal to multimodal





# From unimodal to multimodal

### "modality" roughly means "data type".

- Unimodal AI refers to applying AI to one specific type of data.
- Most early machine learning works fall into this category.
- Even today, when you open any machine learning literature, unimodal AI is still the majority of the content.

## Unimodal - NLP

### LDA was the 2010's transformer

| "Arts"  | "Budgets"  | "Children" | "Education" |
|---------|------------|------------|-------------|
| NEW     | MILLION    | CHILDREN   | SCHOOL      |
| FILM    | TAX        | WOMEN      | STUDENTS    |
| SHOW    | PROGRAM    | PEOPLE     | SCHOOLS     |
| MUSIC   | BUDGET     | CHILD      | EDUCATION   |
| MOVIE   | BILLION    | YEARS      | TEACHERS    |
| PLAY    | FEDERAL    | FAMILIES   | HIGH        |
| MUSICAL | YEAR       | WORK       | PUBLIC      |
| BEST    | SPENDING   | PARENTS    | TEACHER     |
| ACTOR   | NEW        | SAYS       | BENNETT     |
| FIRST   | STATE      | FAMILY     | MANIGAT     |
| YORK    | PLAN       | WELFARE    | NAMPHY      |
| OPERA   | MONEY      | MEN        | STATE       |
| THEATER | PROGRAMS   | PERCENT    | PRESIDENT   |
| ACTRESS | GOVERNMENT | CARE       | ELEMENTARY  |
| LOVE    | CONGRESS   | LIFE       | HAITI       |

The William Randolph Hearst Foundation will give \$1.25 million to Lincoln Center, Metropolitan Opera Co., New York Philharmonic and Juilliard School. "Our board felt that we had a real opportunity to make a mark on the future of the performing arts with these grants an act every bit as important as our traditional areas of support in health, medical research, education and the social services," Hearst Foundation President Randolph A. Hearst said Monday in announcing the grants. Lincoln Center's share will be \$200,000 for its new building, which will house young artists and provide new public facilities. The Metropolitan Opera Co. and New York Philharmonic will receive \$400,000 each. The Juilliard School, where music and the performing arts are taught, will get \$250,000. The Hearst Foundation, a leading supporter of the Lincoln Center Consolidated Corporate Fund, will make its usual annual \$100,000 donation, too. JMLR: Workshop and Conference Proceedings 13: 63-78 2nd Asian Conference on Machine Learning (ACML2010), Tokyo, Japan, Nov. 8–10, 2010.

### Efficient Collapsed Gibbs Sampling For Latent Dirichlet Allocation

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Editor: Masashi Sugiyama and Qiang Yang

#### Abstract

Collapsed Gibbs sampling is a frequently applied method to approximate intractable integrals in probabilistic generative models such as latent Dirichlet allocation. This sampling method has however the crucial drawback of high computational complexity, which makes it limited applicable on large data sets. We propose a novel *dynamic sampling* strategy to significantly improve the efficiency of collapsed Gibbs sampling. The strategy is explored in terms of efficiency, convergence and perplexity. Besides, we present a straight-forward parallelization to further improve the efficiency. Finally, we underpin our proposed improvements with a comparative study on different scale data sets.

Keywords: Gibbs sampling, Optimization, Latent Dirichlet Allocation

#### 1. Introduction

Latent Dirichlet allocation (LDA) is a generative probabilistic model that was first proposed by Blei et al. (2003) to discover topics in text documents. LDA is based on the

# **Unimodal tasks in NLP**

Adhoc methods for NLP problems

| Sentiment analysis          | Text classification          | Topic modeling             | Text summarization  | Natural language<br>generation |
|-----------------------------|------------------------------|----------------------------|---------------------|--------------------------------|
| Named entity<br>recognition | Word sense<br>disambiguation | Parts-of-speech<br>tagging | Grammatical parsing | Machine translation            |
| Question answering          | Spam filtering               | Language modeling          | Dialog systems      | Information<br>extraction      |
| Semantic role<br>labeling   | Part-of-speech<br>induction  | Co-reference<br>resolution | Pronoun resolution  | Sentence<br>segmentation       |
|                             |                              |                            |                     |                                |

**Textual Modality** 

### Unimodal - CV

Fashion-MNIST, 2017



)7747v2 [cs.LG] 15 Sep 2017

### Fashion-MNIST: a Novel Image Dataset for Benchmarking Machine Learning Algorithms

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#### Abstract

We present Fashion-MNIST, a new dataset comprising of  $28 \times 28$  grayscale images of 70,000 fashion products from 10 categories, with 7,000 images per category. The training set has 60,000 images and the test set has 10,000 images. Fashion-MNIST is intended to serve as a direct dropin replacement for the original MNIST dataset for benchmarking machine learning algorithms, as it shares the same image size, data format and the structure of training and testing splits. The dataset is freely available at https://github.com/zalandoresearch/fashion-mnist.

# Unimodal tasks in CV

| Object classification<br>and detection | Image<br>segmentation          | Object tracking                       | Action recognition                | Scene<br>understanding                 |
|--|--------------------------------|---------------------------------------|-----------------------------------|--|
| 3D reconstruction                      | Pose estimation                | Depth estimation                      | Stereo vision                     | Texture recognition and classification |
| Material recognition                   | Object recognition<br>in video | Facial recognition and identification | Human activity<br>recognition     | Image<br>super-resolution              |
| Neural style transfer                  | Image inpainting               | Video frame<br>interpolation          | Multiple object<br>tracking in 3D | SLAM                                   |

| Visual Modality   |
|-------------------|
| thousand modulity |

# Unimodal tasks in speech & audio

| Automatic Speech<br>Recognition | Text-to-Speech             | Speaker<br>Recognition        | Speaker Diarization             | Speech<br>Enhancement      |
|---------------------------------|----------------------------|-------------------------------|---------------------------------|----------------------------|
| Music<br>Recommendation         | Music Genre<br>Recognition | Music Artist<br>Recognition   | Music Structure<br>Segmentation | Music Tempo<br>Estimation  |
| Audio Source<br>Separation      | Sound Event<br>Detection   | Sound Event<br>Classification | Sound Event<br>Localization     | Audio Scene<br>Recognition |
| Audio Captioning                | Emotion<br>Recognition     | Speech Translation            | Voice Activity<br>Detection     | Silence Detection          |
|                                 |                            | Acoustic Modality             |                                 |                            |

# Unimodal know-how are hardly transferable



- Tasks are specific to just one modality (e.g. textual, visual, acoustic, etc).
- Knowledge is learned from and applied to only one modality (i.e. a visual algorithm can only learn from and be applied to images).

| Text classification            | Topic modeling  | Text summarization   | Natural language<br>generation   |
|--------------------------------|---|--|--|
| Word sense<br>disambiguation   | Parts-of-speech<br>tagging  | Grammatical parsing  | Machine translation  |
| Spam filtering                 | Language modeling   | Dialog systems   | Information<br>extraction  |
| Part-of-speech<br>induction    | Co-reference<br>resolution  | Pronoun resolution   | Sentence<br>segmentation   |
|                                | Textual Modality  |  |  |
| Image<br>segmentation          | Object tracking   | Action recognition   | Scene<br>understanding   |
| Pose estimation                | Depth estimation  | Stereo vision  | Texture recognition<br>and classification  |
| Object recognition<br>in video | Facial recognition<br>and identification  | Human activity<br>recognition  | Image<br>super-resolution  |
| Image inpainting               | Video frame<br>interpolation  | Multiple object<br>tracking in 3D  | SLAM   |
|                                | Word sense<br>disambiguation<br>Spam filtering<br>Part-of-speech<br>induction<br>Image<br>segmentation<br>Pose estimation<br>Object recognition<br>in video | Word sense<br>disambiguation     Parts-of-speech<br>tagging       Spam filtering     Language modeling       Part-of-speech<br>induction     Co-reference<br>resolution       Image<br>segmentation     Object tracking       Pose estimation     Depth estimation       Object recognition<br>in video     Facial recognition<br>and identification | Word sense<br>disambiguation     Parts-of-speech<br>tagging     Grammatical<br>parsing       Spam filtering     Language modeling     Dialog systems       Part-of-speech<br>induction     Co-reference<br>resolution     Pronoun resolution       Image<br>segmentation     Object tracking     Action recognition       Pose estimation     Depth estimation     Stereo vision       Object recognition<br>in video     Facial recognition     Human activity<br>recognition |

|                                 |                            | Visual Modality               |                                 |                            |
|---------------------------------|----------------------------|-------------------------------|---------------------------------|----------------------------|
| Automatic Speech<br>Recognition | Text-to-Speech             | Speaker<br>Recognition        | Speaker Diarization             | Speech<br>Enhancement      |
| Music<br>Recommendation         | Music Genre<br>Recognition | Music Artist<br>Recognition   | Music Structure<br>Segmentation | Music Tempo<br>Estimation  |
| Audio Source<br>Separation      | Sound Event<br>Detection   | Sound Event<br>Classification | Sound Event<br>Localization     | Audio Scene<br>Recognition |
| Audio Captioning                | Emotion<br>Recognition     | Speech Translation            | Voice Activity<br>Detection     | Silence Detectior          |
|                                 |                            | Acoustic Modality             |                                 |                            |

### A detour: cross-modal model

Time

Time

NIPS 2010, Cross-LDA

1 Introduction

J.S. BACH COMPOSER

VIOLINIST

VIOLIN

STRING

INSTRUMENT

Implicit linking via text

Image

Training Testing **Toward Artificial Synesthesia:** Sound Image Unknown Image Unknown Sound Linking Images and Sounds via Words Input Feature extraction & representation Han Xiao, Thomas Stibor Department of Informatics caption caption Technical University of Munich Garching, D-85748 {xiaoh, stibor}@in.tum.de Feature extraction Abstract We tackle a new challenge of modeling a perceptual experience in which a Build codebook ∧ \$ · AN • • ① stimulus in one modality gives rise to an experience in a different sensory modality, termed synesthesia. To meet the challenge, we propose a probabilistic framework based on graphical models that enables to link visual modalities and auditory modalities via natural language text. An online prototype system is developed for allowing human judgement to evaluate the model's performance. Experimental results indicate usefulness and applicability of the framework. Represent each Represent each OOAA 000 \*\*\*\* \*\*\*\* sound into a bag of \*\*\*\* image into a bag 000... @ @ @ · · · of visual word 000... auditory word A picture of a golden beach might stimulate human's hearing, probably, by imagining the sound of waves crashing against the shore. On the other hand, the sound of a baaing sheep might illustrate caption caption a green hillside in front of your eyes. In neurology, this kind of experience is termed synesthesia. That is, a perceptual experience in which a stimulus in one modality gives rise to an experience in a different sensory modality. Without a doubt, the creative process of humans (e.g. painting and Probabilistic topic model composing) is to a large extent attributed to their synesthesia experiences. While cross-sensory Se links such as sound and vision are quite common to humans, machines do not possess the same Sound Learning Inference Feed data into LDA probabilistic Corr-LDA Corr-LDA WordNet topic model Sound Explicit linking Predicted sound Predicted image

> Figure 2: Probabilistic framework for performing the image composition and sound illustration task. The framework is an extension based on the work flow proposed in [8]. Images and sounds are represented in bags-of-words, so that the difference between the two modalities can be omitted. Once we have the algorithm for inferring sounds from an image, we can apply it to infer images from a sound by mirroring the algorithm.

### Erase the boundary between modalities



- Tasks are shared and transferred between multiple modalities (so one algorithm can work with images and text and audio).
- Knowledge is learned from and applied to multiple modalities (so an algorithm can learn from textual data and apply that to visual data).

# Paradigm shift from unimodal to multimodal

The rise of multimodal AI can be attributed to advances in two machine learning techniques: **Representation learning** and **transfer learning**.

- Representation learning lets models create common representations for all modalities.
- Transfer learning lets models first learn fundamental knowledge, and then fine-tune on specific domains.

## CLIP, DALLE, BLIP, Bark, GPT4

We will see more and more Al applications move beyond one data modality and leverage relationships between different modalities

Jibe



The paradigm shift from single-modal AI to multimodal AI

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# "An artificial intelligence system trained on words and sentences alone will never approximate human understanding."

Y. Lecun in 2022 in AI And The Limits Of Language

# Multimodal AI is the future, but the ML ecosystem is not yet suited for it.



# Agenda

- Preliminary: multimodal AI

### - Opensource package: DocArray

- Motivation
- Representing data
- Transiting data
- Storing data
- Retrieving data
- Multimodal at scale in production

This tutorial may require technical knowledge. Familiarity with Python 3.7+ concepts like data classes could be helpful.



DocArray for representing, transiting, storing, searching multimodal data

# Representing multimodal data is a pain

- Lack of common interface for different modalities makes it difficult to work with multiple modalities at the same time.
- No easy way to represent unstructured and nested multimodal data.

# Lack of common interface

Jibe



### No easy way to represent unstructured nested multimodal data





- Unstructured document
- Nested content
- Different modalities (text, image, ...)

# DocArray way of representing multimodal data

By the Way A Post Travel Destination

Everything to know about flying with pets, from picking your seat to keeping your animal calm

By Nathan Diller

Jine

from docarray import dataclass, Docume
from docarray.typing import Image, Text,

### @dataclass

class WPArticle: banner: Image headline: Text meta: JSON

```
a = WPArticle(
    banner='dog-cat-flight.png',
    headline='Everything to know about fly
    meta={
        'author': 'Nathan Diller',
        'column': 'By the Way - A Post Trace
},
)
```

doc = Document(a)

# Frequent data transfer over network is expensive

Jine

Multimodal data is processed by multiple models and models are usually deployed in a distributed way.

| Data at rest   | Data in use   | Data in transit  |  |
|--|---|--|--|
| Inactive data under very<br>occasional changes, stored<br>physically in database,<br>warehouse, spreadsheet,<br>archives, etc. | Active data under constant<br>change, stored physically in<br>database, warehouse,<br>spreadsheet, etc. | Traversing a network or<br>temporarily residing in<br>computer memory to be<br>read or updated |  |

# **Performant serialization is important**

DocArray is designed to be "ready-to-wire" at anytime.

- JSON string: .from\_json()/.to\_json()
  - o Pydantic model: .from\_pydantic\_model()/.to\_pydantic\_model()
- Bytes (compressed): .from\_bytes()/.to\_bytes()
  - Disk serialization: .save\_binary() / .load\_binary()
- Base64 (compressed): .from\_base64()/.to\_base64()
- Protobuf Message: .from\_protobuf()/.to\_protobuf()
- Python List: .from\_list()/.to\_list()
- Pandas Dataframe: .from\_dataframe() / .to\_dataframe()
- Cloud: .push()/.pull()

# Binary serialization optimized for in-transit & at-rest



# Binary serialization optimized for in-transit & at-rest



Time cost in seconds on 1M Docs

Arguments

# Storing nested data with databases is complicated

- Complex and nested schema are not directly supported in databases
- Explosion in numbers of vector databases with different APIs but no universal client

### 

### DocArray Storage

```
1 from docarray import DocumentArray, Document
```

```
3 da = DocumentArray(storage='milvus',
```

```
config={'connection': 'example.db'})
```

5

```
6 with da:
```

```
7 da.append(Document())
```

```
8 da.summary()
```

# **DocArray way of storing data**

### 

### DocArray Storage

1 from docarray import DocumentArray, Document

5

6 with da:

```
7 da.append(Document())
```

```
8 da.summary()
```

'mivlus' 'qdrant' 'weaviate' 'elasticsearch' 'redis' 'opensearch' 'annlite' 'sqlite'

## **Vector Search via a consistent API**

Jine

```
1 from docarray import Document, DocumentArray
2 import numpy as np
3
4 n_dim = 3
5 da = DocumentArray(
6 storage='annlite',
7 config={'n_dim': n_dim, 'metric': 'Euclidean'},
8 )
9
10 with da:
11 da.extend([Document(embedding=i * np.ones(n_dim)) for i in range(10)])
12
13 result = da.find(np.array([2, 2, 2]), limit=6)
14 result[:, 'embedding']
```

# Vector Search via a consistent API

Jine

| 1 from docarray import Document, DocumentArray<br>2 import numpy as np<br>3  | Name          | Construction                                      | Vector<br>search |
|--|---------------|---|------------------|
| 4 n_dim = 3<br>5 da = DocumentArray(   | In memory     | DocumentArray()                                   |                  |
| <pre>6 storage='annlite', 7 config={'n_dim': n_dim, 'metric': 'Euclid 8 )</pre>  | SQLite        | <pre>DocumentArray(storage='sqlite')</pre>        | ×                |
| 9<br>10 with da:   | Weaviate      | <pre>DocumentArray(storage='weaviate')</pre>      |                  |
| <pre>11 da.extend([Document(embedding=i * np.ones 12 12 da.extend([Document([Document([Document([Document[</pre> | Qdrant        | <pre>DocumentArray(storage='qdrant')</pre>        |                  |
| <pre>13 result = da.find(np.array([2, 2, 2]), limit=6 14 result[:, 'embedding']</pre>  | AnnLite       | <pre>DocumentArray(storage='annlite')</pre>       |                  |
|  | ElasticSearch | <pre>DocumentArray(storage='elasticsearch')</pre> |                  |
|  | Redis         | <pre>DocumentArray(storage='redis')</pre>         |                  |
|  | Milvus        | DocumentArray(storage='milvus')                   |                  |

Vector search

+ Filter

×

 $\overline{\mathbf{v}}$ 

 $\overline{\mathbf{v}}$ 

 $\checkmark$ 

 $\checkmark$ 

Filter

 $\checkmark$ 

 $\checkmark$ 

 $\checkmark$ 

 $\checkmark$ 

 $\checkmark$ 

 $\checkmark$ 

 $\checkmark$


- It's like JSON, but for intensive computation.
- It's like numpy.ndarray, but for unstructured data.
- It's like pandas.DataFrame, but for nested and mixed media data with embeddings.
- It's like Protobuf, but for data scientists and deep learning engineers.

,jihe

- It's like JSOI
- It's like num
- It's like pan
- It's like Prot

|         |                                 | DocArray | numpy.ndarray | JSON | pandas.DataFrame | Protobuf     |       |
|---------|---------------------------------|----------|---------------|------|------------------|--------------|-------|
| 2       | Tensor/matrix data              |          |               | ×    |                  | $\checkmark$ |       |
|         | Text data                       |          | ×             |      |                  |              |       |
| OI<br>m | Media data                      |          | ×             | ×    | ×                | ×            |       |
| n<br>ot | Nested data                     |          | ×             |      | ×                |              | ings. |
|         | Mixed data of the above four    |          | ×             | ×    | ×                | ×            |       |
|         | Easy to (de)serialize           |          | ×             |      |                  |              |       |
|         | Data validation (of the output) |          | ×             | ×    | ×                |              |       |
|         | Pythonic experience             |          |               | ×    | $\checkmark$     | ×            |       |
|         | IO support for filetypes        |          | ×             | ×    | ×                | ×            |       |
|         | Deep learning framework support |          |               | ×    | ×                | ×            |       |
|         | multi-core/GPU support          |          | $\checkmark$  | ×    | ×                | ×            |       |
|         | Rich functions for data types   |          | ×             | ×    | V                | ×            |       |



# Hands-on DocArray

# Install DocArray

To install DocArray (0.33), you can use the following command:

pip install "docarray[full]"

https://docs.docarray.org/

For old DocArray, more compatibility and features

pip install "docarray[full]"==0.21

# **Representing data - Document**

At the heart of DocArray lies the concept of BaseDoc.

The following Python code defines a BannerDoc class that can be used to represent the data of a website banner:

from docarray import BaseDoc
from docarray.typing import ImageUrl

```
class BannerDoc(BaseDoc):
    image_url: ImageUrl
    title: str
    description: str
```

# **Representing data - Document**

You can then instantiate a BannerDoc object and access its attributes:

```
banner = BannerDoc(
    image_url='https://example.com/image.png',
    title='Hello World',
    description='This is a banner',
)
assert banner.image_url == 'https://example.com/image.png'
assert banner.title == 'Hello World'
assert banner.description == 'This is a banner'
```

Let's say you want to represent a YouTube video in your application, perhaps to build a search system for YouTube videos.

A YouTube video is not only composed of a video, but also has a title, description, thumbnail (and more, but let's keep it simple).

All of these elements are from different modalities:

the title and description are text,

the thumbnail is an image,

and the video itself is, well, a video.

DocArray lets you represent all of this multimodal data in a single object.





Year in Review: 2021 in Graphic Design

119K views • 1 year ago

First for the thumbnail image:

```
from docarray import BaseDoc
from docarray.typing import ImageUrl, ImageBytes
```

```
class ImageDoc(BaseDoc):
    url: ImageUrl
    bytes: ImageBytes = (
        None # bytes are not always loaded in memory, so we make it optional
    )
```

Then for the video itself:

```
from docarray import BaseDoc
from docarray.typing import VideoUrl, VideoBytes
```

```
class VideoDoc(BaseDoc):
    url: VideoUrl
    bytes: VideoBytes = (
        None # bytes are not always loaded in memory, so we make it optional
    )
```

All the elements that compose a YouTube video are ready:

```
from docarray import BaseDoc
```

```
class YouTubeVideoDoc(BaseDoc):
   title: str
   description: str
   thumbnail: ImageDoc
   video: VideoDoc
```

All the elements that compose a YouTube video are ready:

from docarray import BaseDoc

class YouTubeVideoDoc(BaseDoc):
 title: str
 description: str
 thumbnail: ImageDoc
 video: VideoDoc

You see here that ImageDoc and VideoDoc are also BaseDoc, and they are later used inside another BaseDoc`. This is what we call nested data representation.

BaseDoc can be nested to represent any kind of data hierarchy.

All the elements that compose a YouTube video are ready:



class YouTubeVideoDoc(BaseDoc):
 title: str
 description: str
 thumbnail: ImageDoc
 video: VideoDoc

You see here that ImageDoc and VideoDoc are also BaseDoc, and they are later used inside another BaseDoc`. This is what we call nested data representation.

BaseDoc can be nested to represent any kind of data hierarchy.

This representation can be used to send or store data. You can even use it directly to train a machine learning Pytorch model on this representation.

# **Recap: representing multimodal data**

- "Dataclass" look and feel, for defining the structure
- Strong typing, for defining modality
  - Python built-in types
  - Numpy types
  - URI types

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- Text
- Image
- Audio
- Video
- Mesh3D
- PointCloud3D
- Tensor types
  - ImageTensor
  - AudioTensor
  - VideoTensor
  - Embedding
- Optional[]

| defining the structure<br>odality  |
|--|
| <pre>from docarray import BaseDoc from docarray.typing import ImageUrl, ImageBytes</pre> |
| <pre>class ImageDoc(BaseDoc):</pre>  |
| url: ImageUrl  |
| bytes: ImageBytes = (  |
| <b>None</b> # bytes are not always loaded in m   |
| )  |

# Representing an array of multimodal data

The fundamental building block of DocArray is the BaseDoc class which represents a *single* document, a *single* datapoint.

However, in machine learning we often need to work with an array of documents, and an array of data points.

We introduce

- DocList which is **a Python list** of BaseDocs
- DocVec which is a column-based representation of BaseDocs

# Example of DocList

First you need to create a Doc class, our data schema. Let's say you want to represent a banner with an image, a title and a description:

```
from docarray import BaseDoc, DocList
from docarray.typing import ImageUrl
class BannerDoc(BaseDoc):
    image: ImageUrl
    title: str
    description: str
```

# **Example of DocList**

First you need to create a Doc class, our data schema. Let's say you want to represent a banner with an image, a title and a description:

```
from docarray import BaseDoc, DocList
from docarray.typing import ImageUrl
c] Let's instantiate several BannerDoc s:
    banner1 = BannerDoc(
        image='https://example.com/image1.png',
        title='Hello World',
        description='This is a banner',
    banner2 = BannerDoc(
        image='https://example.com/image2.png',
        title='Bye Bye World',
        description='This is (distopic) banner',
```

# **Example of DocList**

DocList and DocVec are both AnyDocArrays. The following section will use DocList as an example, but the same applies to DocVec.

You can now collect them into a DocList of BannerDoc s:

| <pre>docs = DocList[BannerDoc]([banner1, banner2])</pre>                          |  |
|---|--|
| docs.summary()  |  |
|   |  |
| DocList Summary<br>Type DocList[BannerDoc]<br>Length 2                            |  |
| Document Schema<br>BannerDoc<br>image: ImageUrl<br>title: str<br>description: str |  |

#### JING

# Example of DocList

You can access documents inside it with the usual Python array API:

print(docs[0])

BannerDoc(image='https://example.com/image1.png', title='Hello World', description:

or iterate over it:

for doc in docs: print(doc)

BannerDoc(image='https://example.com/image1.png', title='Hello World', description: BannerDoc(image='https://example.com/image2.png', title='Bye Bye World', descriptic

# Accessing member attribute at array level

At the document level:

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| <pre>print(banner1.image)</pre>                                      |  |
|--|--|
| https://example.com/image1.png'                                      |  |
| At the Array level:  |  |
| <pre>print(docs.image)</pre>   |  |
| ['https://avample.com/image1_png''https://avample.com/image2_png']   |  |
| ['https://example.com/image1.png', 'https://example.com/image2.png'] |  |

# Accessing member attribute at array level

At the document level:

| <pre>print(banner1.image)</pre>       |  |  |
|---------------------------------------|--|--|
| https://example.com/image1.png'       |  |  |
| At the Array level:                   | You can even access the attributes of the nested BaseDoc at the Array level: |  |
| <pre>print(docs.image)</pre>          | <pre>print(docs.banner.image)</pre>  |  |
| ['https://example.com/image1.png', 'h | ['https://example.com/image1.png', 'https://example.com/image2.png']         |  |
|                                       | This is just the same way that you would do it with BaseDoc:                 |  |
|                                       | <pre>print(page1.banner.image)</pre>   |  |
|                                       | 'https://example.com/image1.png'   |  |
|                                       |  |  |

# DocList[DocType] syntax

DocList[DocType] creates a custom DocList that can only contain DocType Documents.

# Non-typing DocList for heterogeneous data

```
from docarray import BaseDoc, DocList
from docarray.typing import ImageUrl, AudioUrl

class ImageDoc(BaseDoc):
    url: ImageUrl

class AudioDoc(BaseDoc):
    url: AudioUrl

docs = DocList(
    [
    ImageDoc(url='https://example.com/image1.png'),
    AudioDoc(url='https://example.com/audio1.mp3'),
   ]
)
```

# Strong-typing DocList for homogeneous data



```
ValueError: AudioDoc(
    id='e286b10f58533f48a0928460f0206441',
    url=AudioUrl('https://example.com/audio1.mp3', host_type='domain')
) is not a <class '__main__.ImageDoc'>
```

DocList is based on Python Lists. You can append, extend, insert, pop, and so on. In DocList, data is individually owned by each BaseDoc collect just different Document references.

Use DocList when you want to be able to rearrange or re-rank your data. One flaw of DocList is that none of the data is contiguous in memory, so you cannot leverage functions that require contiguous data without first copying the data in a continuous array.

DocVec is a columnar data structure. DocVec is always an array of homogeneous Documents. The idea is that every attribute of the BaseDoc will be stored in a contiguous array: a column.



. . .

# **DocList vs DocVec**

Let's say you want to embed a batch of Images:

def embed(image: NdArray['batch\_size', 3, 224, 224]):



from docarray import BaseDoc
from docarray.typing import NdArray



from docarray import BaseDoc
from docarray.typing import NdArray

```
class
          from docarray import DocList
    ima
           import numpy as np
          docs = DocList[ImageDoc](
               [ImageDoc(image=np.random.rand(3, 224, 224)) for _ in range(10)]
           embed (np.stack(docs.image))
           . . .
           embed (np.stack(docs.image))
```



from docarray import BaseDoc
from docarray.typing import NdArray

```
class ImageDoc(BaseDoc):
    image:
        3, 1 from docarray import DocVec
] = Nc 2 import numpy as np
        3
        4 docs = DocVec[ImageDoc](
        5 [ImageDoc(image=np.random.rand(3, 224, 224)) for _ in range(10)]
        6 )
        7
        8 embed(docs.image)
```

# Access the view of Document in DocVec

If you access a document inside a DocVec you will get a document view. A document view is a view of the columnar data structure which looks and behaves like a BaseDoc instance. It is a BaseDoc instance but with a different way to access the data.

```
from docarray import DocVec

docs = DocVec[ImageDoc](
   [ImageDoc(image=np.random.rand(3, 224, 224)) for _ in range(10)]
)

my_doc = docs[0]
assert my_doc.is_view() # True
```

whereas with DocList:

```
docs = DocList[ImageDoc](
    [ImageDoc(image=np.random.rand(3, 224, 224)) for _ in range(10)]
)
my_doc = docs[0]
assert not my_doc.is_view() # False
```

# Access the view of Document in DocVec

If you access a document inside a DocVec you will get a document view. A document view is a view of the columnar data structure which looks and behaves like a BaseDoc instance. It is a BaseDoc instance but with a different way to access the data.

you should use DocVec when you need to work with contiguous data, and you should use DocList when you need to rearrange or extend your data.

```
docs = DocList[ImageDoc](
    [ImageDoc(image=np.random.rand(3, 224, 224)) for _ in range(10)]
)
my_doc = docs[0]
assert not my_doc.is_view()  # False
```

# **Storing & retrieving via Vector Database**

JÍDO

```
1 from docarray import DocList, BaseDoc
2 from docarray.index import HnswDocumentIndex
3 import numpy as np
5 from docarray.typing import ImageUrl, ImageTensor, NdArray
6
8 class ImageDoc(BaseDoc):
      url: ImageUrl
9
      tensor: ImageTensor
10
11
      embedding: NdArray[128]
12
13
14 # create some data
15 dl = DocList[ImageDoc](
16
      [
17
          ImageDoc(
18
              url="https://upload.wikimedia.org/wikipedia/commons/2/2f/Alpamayo.jpg",
19
              tensor=np.zeros((3, 224, 224)),
20
              embedding=np.random.random((128,)),
21
           )
22
          for _ in range(100)
23
      1
24)
25
26 # create a Document Index
27 index = HnswDocumentIndex[ImageDoc](work_dir='/tmp/test_index2')
28
29
30 # index your data
31 index.index(dl)
32
33 # find similar Documents
34 query = dl[0]
35 results, scores = index.find(query, limit=10, search_field='embedding')
```

# Storing & retrieving via Vector Database

JÍDO

```
1 from docarray import DocList, BaseDoc
2 from docarray.index import HnswDocumentIndex
3 import numpy as np
5 from docarray.typing import ImageUrl, ImageTensor, NdArray
8 class ImageDoc(BaseDoc):
9
14 # create some data
15 dl = DocList[ImageDoc](
     ]
              url="https://upload.wikimedia.org/wikipedia/commons/2/2f/Alpamayo.jpg",
              tensor=np.zeros((3, 224, 224)),
              embedding=np.random.random((128,)),
           )
          for in range(100)
26 # create a Document Index
27 index = HnswDocumentIndex[ImageDoc](work_dir='/tmp/test_index2')
30 # index your data
31 index.index(dl)
33 # find similar Documents
34 query = dl[0]
35 results, scores = index.find(query, limit=10, search_field='embedding')
```

# **Document Index: ORM for vector DBs**

Document Index provides a unified interface to a number of vector databases.

You can think of Document Index as an **ORM for vector databases**.

Currently, DocArray supports the following vector databases:

- Weaviate | Docs
- Qdrant | Docs
- Elasticsearch v7 and v8 | Docs
- HNSWlib | Docs

\*Old DocArray v0.21 supports Milvus, Redis, Opensearch

To use HnswDocumentIndex, you need to install extra dependencies with the following command: pip install "docarray[hnswlib]"

To create a Document Index, you first need a document that defines the schema of your index:

from docarray import BaseDoc
from docarray.index import HnswDocumentIndex
from docarray.typing import NdArray

```
class MyDoc(BaseDoc):
    embedding: NdArray[128]
    text: str
```

db = HnswDocumentIndex[MyDoc](work\_dir='./my\_test\_db')

To use HnswDocumentIndex, you need to install extra dependencies with the following command: pip install "docarray[hnswlib]"

To create a Document Index, you first need a document th

from docarray import BaseDoc
from docarray.index import HnswDocumentIndex
from docarray.typing import NdArray

```
class MyDoc(BaseDoc):
    embedding: NdArray[128]
    text: str
```

db = HnswDocumentIndex[MyDoc](work\_dir='./my\_tes

In this code snippet, HnswDocumentIndex takes a schema of the form of MyDoc. The Document Index then creates a column for each field in MyDoc.

To use HnswDocumentIndex, you need to install extra dependencies with the following command: pip install "docarray[hnswlib]"

To create a Document Index, you first need a document th

from docarray import BaseDoc
from docarray.index import HnswDocumentIndex
from docarray.typing import NdArray

class MyDoc(BaseDoc): embedding: NdArray[128] text: str

db = HnswDocumentIndex[MyDoc](work\_dir='./my\_tes

In this code snippet, HnswDocumentIndex takes a schema of the form of MyDoc. The Document Index then creates a column for each field in MyDoc.

The column types in the backend database are determined by the type hints of the document's fields. Optionally, you can customize the database types for every field.

To use HnswDocumentIndex, you need to install extra dependencies with the following command: pip install "docarray[hnswlib]"

| To create a Document Index, you first need a document th   | In this code snippet, HnswDocumentIndex takes a<br>schema of the form of MyDoc. The Document Index<br>then creates a column for each field in MyDoc.   |
|--|--|
| <pre>from docarray import BaseDoc from docarray.index import HnswDocumentIndex from docarray.typing import NdArray</pre> | The column types in the backend database are<br>determined by the type hints of the document's<br>fields. Optionally, you can customize the database<br>types for every field.                                 |
| <pre>class MyDoc(BaseDoc):<br/>embedding: NdArray[128] ←<br/>text: str</pre>   | Most vector databases need to know the<br>dimensionality of the vectors that will be stored.<br>Here, that is automatically inferred from the type hint<br>of the embedding field: NdArray[128] means that the |
| <pre>db = HnswDocumentIndex[MyDoc](work_dir='./my_tes</pre>  | database will store vectors with 128 dimensions.   |



#### Index data

Now that you have a Document Index, you can add data to it, using the index() method:

```
import numpy as np
from docarray import DocList
# create some random data
docs = DocList[MyDoc](
    [MyDoc(embedding=np.random.rand(128), text=f'text {i}') for i in range(100)]
)
# index the data
db.index(docs)
```
#### Index data

Now that you have a Document Index, you can add data to it, using the index() method:

```
import numpy as np
from docarray import DocList
# create some random data
docs = DocList[MyDoc](
     [MyDoc(embedding=np.random.rand(128), text=f'text {i}'
                                                                 As you can see, DocList[MyDoc] and
                           from docarray import BaseDoc
                                                                 HnswDocumentIndex[MyDoc] are both
                           from docarray.index import HnswDocume
                                                                 parameterized with MyDoc. This means
# index the data
                           from docarray.typing import NdArray
                                                                 that they share the same schema, and in
db.index(docs)
                                                                 general, the schema of a Document
                                                                 Index and the data that you want to
                           class MyDoc(BaseDoc):
                                                                 store need to have compatible schemas
                                embedding: NdArray[128]
                               text: str
                           db = HnswDocumentIndex[MyDoc](work_dir='./my_test_db')
```

#### **Vector search**

Search by Document Search by raw vector

# create a query Document query = MyDoc(embedding=np.random.rand(128), text='query')

```
# find similar Documents
matches, scores = db.find(query, search_field='embedding', limit=5)
```

```
print(f'{matches=}')
print(f'{matches.text=}')
print(f'{scores=}')
```

#### **Vector search**

| Search by Document Search by raw vector   | Search by Document Search by raw vector  |
|---|--|
| <pre># create a query Document query = MyDoc(embedding=np.random.rand(128), text='query')</pre>         | <pre># create a query vector query = np.random.rand(128)</pre>   |
| <pre># find similar Documents matches, scores = db.find(query, search_field='embedding', limit=5)</pre> | # find similar Documents<br>matches, scores = db.find(query, search_field='embedding', limit= <mark>5</mark> ) |
| <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>                           | <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>                                  |

print(f'{matches=}')
print(f'{matches[0].text=}')

print(f'{scores=}')

#### **Vector search**

matches, scores = db.find\_batched(queries, search\_field='embedding', limit=5)

| Search by Document Search by raw vector  | Search by Document Search by raw vector   |
|--|---|
| <pre># create a query Document query = MyDoc(embedding=np.random.rand(128), text='query')</pre>  | <pre># create a query vector query = np.random.rand(128)</pre>  |
| <pre># find similar Documents matches, scores = db.find(query, search_field='embedding', limit=5)</pre>  | <pre># find similar Documents matches, scores = db.find(query, search_field='embedding', limit=5)</pre> |
| <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>  | <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>                           |
| Search by Documents Search by raw vectors  |   |
| <pre># create some query Documents queries = DocList[MyDoc](     MyDoc(embedding=np.random.rand(128), text=f'query {i}') for i in range(3) )</pre> |   |
| # find similar Documents   |   |

#### **Vector search**

| Search by Document Search by raw vector  | Search by Document Search by raw vector   |
|--|---|
| <pre># create a query Document query = MyDoc(embedding=np.random.rand(128), text='query')</pre>  | <pre># create a query vector query = np.random.rand(128)</pre>  |
| <pre># find similar Documents matches, scores = db.find(query, search_field='embedding', limit=5)</pre>  | <pre># find similar Documents matches, scores = db.find(query, search_field='embedding', limit=5)</pre>         |
| <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>  | <pre>print(f'{matches=}') print(f'{matches.text=}') print(f'{scores=}')</pre>                                   |
| Search by Documents Search by raw vectors  | Search by Documents Search by raw vectors   |
| <pre># create some query Documents queries = DocList[MyDoc](     MyDoc(embedding=np.random.rand(128), text=f'query {i}') for i in range(3) )</pre> | <pre># create some query vectors query = np.random.rand(3, 128)</pre>   |
| <pre># find similar Documents matches, scores = db.find_batched(queries, search_field='embedding', limit=5)</pre>                                  | <pre># find similar Documents matches, scores = db.find_batched(query, search_field='embedding', limit=5)</pre> |
| <pre>print(f'{matches=}') print(f'{matches[0].text=}') print(f'{scores=}')</pre>   | <pre>print(f'{matches=}') print(f'{matches[0].text=}') print(f'{scores=}')</pre>                                |

### Hybrid search through the query builder

Document Index supports atomic operations for vector similarity search, text search and filter search.

To combine these operations into a single, hybrid search query, you can use the query builder that is accessible through build\_query():

```
# prepare a query
q_doc = MyDoc(embedding=np.random.rand(128), text='query')
query = (
    db.build_query() # get empty query object
    .find(query=q_doc, search_field='embedding') # add vector similarity search
    .filter(filter_query={'text': {'$exists': True}}) # add filter search
    .build() # build the query
)
# execute the combined query and return the results
results = db.execute_query(query)
print(f'{results=}')
```

#### **Customize vector DB configuration**

```
db = HnswDocumentIndex[MyDoc](work_dir='/tmp/my_db')
db.configure(
    default_column_config={
        np.ndarray: {
            'dim': -1,
            'index': True,
            'space': 'ip',
            'max_elements': 2048,
            'ef_construction': 100,
            'ef': 15,
            'M': 8,
            'allow_replace_deleted': True,
            'num_threads': 5,
        },
        None: {},
```



#### Indexing and searching multimodal data

In the following example you can see a complex schema that contains nested Documents. The YouTubeVideoDoc contains a VideoDoc and an ImageDoc, alongside some "basic" fields:





Year in Review: 2021 in Graphic Design Linus Boman © 119K views • 1 year ago

```
1 from docarray.typing import ImageUrl, VideoUrl, AnyTensor
 3
 4 # define a nested schema
 5 class ImageDoc(BaseDoc):
       url: ImageUrl
       tensor: AnyTensor = Field(space='cosine', dim=64)
 8
 9
10 class VideoDoc(BaseDoc):
       url: VideoUrl
11
12
       tensor: AnyTensor = Field(space='cosine', dim=128)
13
14
15 class YouTubeVideoDoc(BaseDoc):
16
       title: str
17
       description: str
18
       thumbnail: ImageDoc
19
       video: VideoDoc
20
       tensor: AnyTensor = Field(space='cosine', dim=256)
21
22
23 # create a Document Index
24 doc_index = HnswDocumentIndex[YouTubeVideoDoc](work_dir='/tmp2')
25
26 # create some data
27 index_docs = [
       YouTubeVideoDoc(
28
          title=f'video {i+1}',
29
          description=f'this is video from author {10*i}',
30
           thumbnail=ImageDoc(url=f'http://example.ai/images/{i}', tensor=np.ones(64)),
31
32
           video=VideoDoc(url=f'http://example.ai/videos/{i}', tensor=np.ones(128)),
          tensor=np.ones(256),
33
34
35
       for i in range(8)
36 ]
37
38 # index the Documents
39 doc index.index(index docs)
```

#### ,JÍNG

### Indexing and searching multimodal data

You can perform search on any nesting level by using the dunder operator to specify the field defined in the nested data.

```
1 # create a query Document
 2 guery doc = YouTubeVideoDoc(
      title=f'video guery',
 3
      description=f'this is a query video',
 4
 5
      thumbnail=ImageDoc(url=f'http://example.ai/images/1024', tensor=np.ones(64)),
      video=VideoDoc(url=f'http://example.ai/videos/1024', tensor=np.ones(128)),
 6
       tensor=np.ones(256),
 7
 8)
 9
10 # find by the `youtubevideo` tensor; root level
11 docs, scores = doc_index.find(query_doc, search_field='tensor', limit=3)
12
13 # find by the `thumbnail` tensor; nested level
14 docs, scores = doc_index.find(query_doc, search_field='thumbnail_tensor', limit=3)
15
16 # find by the `video` tensor; neseted level
17 docs, scores = doc_index.find(query_doc, search_field='video_tensor', limit=3)
18
```

# Nested DocList with subindex

Documents can be nested by containing a DocList of other documents, which is a slightly more complicated scenario than the previous one.

In this case, the nested DocList will be represented as a **new sub-index** (or table, collection, etc., depending on the database backend), that is linked with **the parent index** (table, collection, ...).

```
1 class ImageDoc(BaseDoc):
       url: ImageUrl
       tensor_image: AnyTensor = Field(space='cosine', dim=64)
 6 class VideoDoc(BaseDoc):
       url: VideoUrl
       images: DocList[ImageDoc]
       tensor_video: AnyTensor = Field(space='cosine', dim=128)
 9
10
11
12 class MyDoc(BaseDoc):
13
       docs: DocList[VideoDoc]
14
       tensor: AnyTensor = Field(space='cosine', dim=256)
15
16
17 # create a Document Index
18 doc_index = HnswDocumentIndex[MyDoc](work_dir='/tmp3')
19
20 # create some data
21 index docs =
22
       MyDoc(
23
           docs=DocList[VideoDoc](
24
25
                   VideoDoc(
                       url=f'http://example.ai/videos/{i}-{j}',
26
                        images=DocList[ImageDoc](
27
28
29
                                    url=f'http://example.ai/images/{i}-{j}-{k}',
30
                                    tensor_image=np.ones(64),
31
32
                                for k in range(10)
34
35
                        ),
36
                        tensor_video=np.ones(128),
37
38
                   for j in range(10)
39
40
           ),
41
           tensor=np.ones(256),
42
43
       for i in range(10)
44 ]
45
46 # index the Documents
47 doc index.index(index docs)
48
```

#### Search by subindex

```
1 # find by the `VideoDoc` tensor
2 root_docs, sub_docs, scores = doc_index.find_subindex(
3 np.ones(128), subindex='docs', search_field='tensor_video', limit=3
4 )
5
6 # find by the `ImageDoc` tensor
7 root_docs, sub_docs, scores = doc_index.find_subindex(
8 np.ones(64), subindex='docs__images', search_field='tensor_image', limit=3
9 )
10
```

Sending via REST API/JSON -> Backend: FastAPI

```
1 import numpy as np
 2 from fastapi import FastAPI
 3 from docarray.base doc import DocArrayResponse
 4 from docarray import BaseDoc
 5 from docarray.documents import ImageDoc
 6 from docarray.typing import NdArray
 7
 8 class InputDoc(BaseDoc):
 9
       img: ImageDoc
10
       text: str
11
12
13 class OutputDoc(BaseDoc):
       embedding clip: NdArray
14
       embedding_bert: NdArray
15
16
17
18 app = FastAPI()
19
20
21 @app.post("/embed/", response model=OutputDoc, response class=DocArrayResponse)
22 async def create item(doc: InputDoc) -> OutputDoc:
23
       ## call my fancy model to generate the embeddings
24
       doc = OutputDoc(
25
           embedding_clip=embed(doc.image)), embedding_bert=embed(doc.text))
26
27
       return doc
28
```

Sending via REST API/JSON -> Backend: FastAPI



```
1 class WhisperExecutor(Executor):
       def init (self, device: str, *args, **kwargs):
 2
           super().__init__(*args, **kwargs)
 3
          self.model = whisper.load_model("medium.en", device=device)
 4
 5
      @requests
 6
 7
       def transcribe(self, docs: DocList[AudioURL], **kwargs) -> DocList[Response]:
           response docs = DocList[Response]()
 8
          for doc in docs:
 9
               transcribed_text = self.model.transcribe(str(doc.audio))['text']
10
               response docs.append(Response(text=transcribed text))
11
12
          return response doc
13
14
```

```
1 class WhisperExecutor(Executor):
       def init (self, device: str, *args, **kwargs):
 2
           super().__init__(*args, **kwargs)
 3
           self.model = whisper.load_model("medium.en", device=device)
 4
 5
       @requests
 6
 7
       def transcribe(self, docs: DocList[AudioURL], **kwargs) -> DocList[Response]:
           response docs = DocList[Respo
 8
           for doc in docs:
 9
                                              1 dep = Deployment(
               transcribed text = self.m
10
                                                   uses=WhisperExecutor, uses with={'device': "cpu"}, port=12349,
                                              2
11
               response_docs.append(Resp
                                               timeout readv=-1
12
                                              3)
                                              4
           return response doc
13
                                              5 with dep:
14
                                                   docs = d.post(
                                                       on='/transcribe',
                                              7
                                                       inputs=[AudioURL(audio='resources/audio.mp3')],
                                              8
                                                       return type=DocList[Response],
                                              9
                                             10
                                             11
                                             12 print(docs[0].text)
                                             13
```

### Agenda

- Preliminary: multimodal AI
- Opensource package: DocArray
  - Motivation
  - Representing data
  - Transiting data
  - Storing data
  - Retrieving data

#### - Multimodal at scale in production

This tutorial may require technical knowledge. Familiarity with Python 3.7+ concepts like data classes could be helpful.

#### An end to end example

https://docs.docarray.org/how\_to/multimodal\_training\_and\_serving/

## 

Berlin · Beijing · Shenzhen

## Thanks for your attention

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